

**REPLY UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP ART UNIT 3695**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: WEBER et al.	)	Confirmation No.: 5428
	)	
Application No.: 10/753,069	)	Group Art Unit: 3695
	)	
Filing Date: January 8, 2004	)	Examiner: Gregory A. Pollock
	)	
For: SYSTEMS AND METHODS FOR	)	<b>RESPONSE TRANSMITTAL</b>
TRADING ACTIVELY MANAGED	)	
FUNDS	)	Docket No.: N09.005
	)	
	)	<b>PTO Customer Number 15462</b>
	)	NYSE c/o
	)	Buckley, Maschoff & Talwalkar LLC
	)	50 Locust Avenue
	)	New Canaan, CT 06840

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Mail Stop AF (via EFS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☐ Fee as calculated and transmitted as described below
2. ☒ **After Final** Amendment/Response to Final Office Action mailed September 13, 2011

FEE CALCULATION

For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	5	- 75	0	\$ 60.00	\$ 0.00
Indep. Claims	5	- 23	0	\$ 250.00	\$ 0.00
Multiple Dependent Claims (add \$450.00 if applicable)					\$ 0.00
<b>No Petition for Extension of Time is Required</b>					\$ 0.00
<b>OTHER FEE</b> (specify purpose):					\$ 0.00
<b>TOTAL FEE</b>					<b>\$ 0.00</b>

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

November 15, 2011  
Date

/Kurt M. Maschoff/  
Kurt M. Maschoff  
Registration No. 38,235  
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